



Southern Cross Equities

EXCLUDED PARTY DECLARATION

Investing Entity:

I/we represent and warrant to Southern Cross Equities Limited that I/we am/are one of the following as indicated (please tick appropriate box below):

	Sole Applicant/ First Joint Applicant (if applicable)	Second Joint Applicant (if applicable)
A person with net assets of at least \$2.5 million OR gross income for each of the last two financial years of at least \$250,000.00 a year.	<input type="checkbox"/>	<input type="checkbox"/>
<i>If the above box is ticked, a certificate that is less than six months old given by a qualified accountant certifying the same must be attached (see last page).</i>		
A person who holds an Australian financial services licence.	<input type="checkbox"/>	<input type="checkbox"/>
A body registered under the <i>Financial Corporations Act 1974</i> .	<input type="checkbox"/>	<input type="checkbox"/>
A body regulated by the Australian Prudential Regulation Authority, other than a trustee of a fund or trust who falls into the class of persons described in the next paragraph.	<input type="checkbox"/>	<input type="checkbox"/>
A trustee of a regulated superannuation fund, an approved deposit fund, a pooled superannuation trust, or a public sector superannuation scheme within the mean of the <i>Superannuation Industry (Supervision) Act 1993</i> . NOTE: The fund, trust or scheme must have net assets of at least \$10 million to qualify as an excluded party under this paragraph.	<input type="checkbox"/>	<input type="checkbox"/>
A person who controls at least \$10 million (including any amount held by an associate or under a trust that the person manages).	<input type="checkbox"/>	<input type="checkbox"/>
A listed entity or a related body corporate of a listed entity.	<input type="checkbox"/>	<input type="checkbox"/>

PARTICIPANT OF ASX GROUP

Southern Cross Equities Limited ABN 87 071 935 441 AFSL No.: 247027
Level 32, Aurora Place, 88 Phillip Street, SYDNEY, NSW 2000
PO Box R234, Royal Exchange NSW 1225

Telephone: (612) 9231 0880 • Facsimile (612) 9231 0588 • Internet: www.sceq.com.au • Email: general@sceq.com.au



Southern Cross Equities

	Sole Applicant/ First Joint Applicant (if applicable)	Second Joint Applicant (if applicable)
An exempt public authority.	<input type="checkbox"/>	<input type="checkbox"/>
A body corporate or unincorporated body that: (1) carries on a business of investment in financial products, interests in land or other investments; and (2) for those purposes, invests funds received (directly or indirectly) following an offer or invitation to the public, within the meaning of section 82 of the <i>Corporations Act</i> , the terms of which provided for the funds subscribed to be invested for those purposes.	<input type="checkbox"/>	<input type="checkbox"/>
A foreign entity that, if established or incorporated in Australia, would be covered by one of the above paragraphs.	<input type="checkbox"/>	<input type="checkbox"/>

(These terms have the same meaning as in sections 9 and 708 of the *Corporations Act*)

If application is by an individual:

Sole Applicant/ First Joint Applicant

Second Joint Applicant

Signature:

Signature:

Date:

Date:

If application is by a company:

Executed by:
(Director or Authorised Party)

and
(Director/Authorised Party/Company Secretary)
Delete whichever is not applicable

Date:

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[To be completed if applicant has net assets of \$2.5m or gross income of \$250,000 pa]

CERTIFICATE OF QUALIFIED ACCOUNTANT

I, (Name of Accountant)

of (Address of Accountant)

certify on this date, (Date)

that..... (Investing Entity)

and his/ her/ its related entities:

- (I) has net assets of at least \$2.5 million; or
- (II) was entitled to gross income for each of the last two financial years of at least \$250,000 per year.

Signed:

(Signature of Accountant)

(Name of Accountant)

(Professional Body Membership)

(Registration Number)

Date:

Note:

A "Qualified Accountant" means a member of professional body that is approved by ASIC in writing for the purposes of the definition. ASIC has indicated that it will approve any member of:

- (a) the Australian Society of Certified Practising Accountants ("ASCPA"), who is entitled to use the post-nominals "CPA" or "FCPA" and is subject to and complies with the ASCPA's continuing professional development requirements;
- (b) the Institute of Chartered Accountants in Australia ("the ICAA") who is entitled to use the post-nominals "CA", "ACA" or "FCA", and is subject to and complies with the ICAA's continuing professional education requirements; or
- (c) the National Institute of Accountants ("the NIA") who is entitled to use the post-nominals "MNIA" or "FNIA" and is subject to and complies with the NIA's continuing professional education requirements.

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